



# Certificate



**Certificate number: 2017-015**

Certified by EY CertifyPoint since: September 1, 2017

Based on certification examination in conformity with defined requirements in ISO/IEC 17021-1:2015, the Environmental Management System as defined and implemented by

## UBS Group AG\*

located in Zurich, Switzerland is compliant with the requirements as stated in the standard:

### ISO 14001:2015

Issue date of certificate: August 17, 2023

Expiration date of certificate: August 19, 2026

Last certification cycle expiration date: August 19, 2023

EY CertifyPoint will, according to the certification agreement dated April 27, 2023, perform surveillance audits and acknowledge the certificate until the expiration date noted above.

*\*The certification is applicable for the assets, services and locations as described in the scoping section at the back of this certificate.*

DocuSigned by:

**Jatin Sehgal**

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J. Sehgal | Director, EY CertifyPoint



This certificate is not transferable and remains the property of Ernst & Young CertifyPoint B.V., located at Antonio Vivaldistraat 150, 1083 HP, Amsterdam, the Netherlands. Any dispute relating to this certificate shall be subject to Dutch law in the exclusive jurisdiction of the court in Rotterdam. The content must not be altered and any promotion by employing this certificate or certification body quality mark must adhere to the scope and nature of certification and to the conditions of contract. Given the nature and inherent limitations of sample-based certification assessments, this certificate is not meant to express any form of assurance on the performance of the organization being certified to the referred ISO standard. The certificate does not grant immunity from any legal/ regulatory obligations. All rights reserved. © Copyright

# UBS Group AG

## Scope for certificate 2017-015

The scope of this ISO 14001:2015 certification is as follows:

The Environmental Management System (EMS) includes global banking business activities, products and services with environmental aspects of the following business divisions: Group Functions, Global Wealth Management, Personal & Corporate Banking, Asset Management and the Investment Bank governed under the Group Sustainability and Impact governance and framework (refer to [ubs.com/gri](http://ubs.com/gri)). Social Impact is excluded from the scope.

The global banking business scope mentioned above applies to the global banking business activities conducted from the jurisdictions listed below. The physical locations are out of scope unless mentioned on the following page for in-house operations.

Argentina	Israel	Qatar
Australia	Italy	Saudi Arabia
Austria	Japan	Singapore
Bahamas	Jersey	South Africa
Brazil	Korea, South	Spain
Canada	Luxembourg	Sweden
Cayman Islands	Malaysia	Switzerland
China Mainland	Mexico	Taiwan
Denmark	Monaco	Thailand
France	Netherlands	United Arab Emirates
Germany	New Zealand	United Kingdom
Hong Kong SAR	Panama	United States
India	Philippines	Uruguay
Indonesia	Poland	
Ireland	Puerto Rico	

The Environmental Management System is centrally managed out of the in-scope headquarter location in Zurich, Switzerland (Bahnhofstrasse 45, 8001).

This scope is only valid in connection with certificate 2017-015.

# UBS Group AG

## Scope for certificate 2017-015

The EMS applies to all in-house operations at the major locations and data centers in the following geographical locations (as per the UBS Building List ISO 14001, dated May 27, 2023.)

The in-house operations scope mentioned above applies to the following physical locations:

Australia, Sydney	Poland, Krakow	Switzerland, Urdorf
Brazil, Sao Paulo	Poland, Wroclaw	Switzerland, Zürich
China, Beijing	Puerto Rico, San Juan	Taiwan, Taipei
China, Shanghai	Singapore, Singapore	United Kingdom, London
China, Wuxi	Switzerland, Aarau	United States, Phoenix
France, Paris	Switzerland, Basel	United States, Stuart
India, Airoli	Switzerland, Bern	United States, Atlanta
India, Hyderabad	Switzerland, Carouge	United States, Bethesda
India, Mumbai	Switzerland, Genève	United States, Stamford
India, Pune	Switzerland, Lugano	United States, Nashville
Germany, Frankfurt	Switzerland, Lausanne	United States, Shelton
Hong Kong, Hong Kong	Switzerland, Luzern	United States, Chicago
Italy, Milano	Switzerland, Manno	United States, Weehawken
Japan, Tokyo	Switzerland, Opfikon	United States, New York
Luxembourg, Luxembourg	Switzerland, Renens	United States, Nashville
Mexico, México	Switzerland, Schaffhausen	
Panama, Panamá	Switzerland, St. Gallen	

The Environmental Management System mentioned in the above scope is restricted as defined in the "UBS Building List ISO 14001", dated May 27, 2023.

This scope is only valid in connection with certificate 2017-015.