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UBS Securities LLC

Net Capital Summary Schedule

CFTC Regulation 1.55 disclosures - reflecting the most current 12 months

	As of Date	Tentative Net Cap	Adjusted Net Capital	Excess Net Capital
1	June 30, 2024	4,419,355,826	3,944,119,172	3,726,928,198
2	May 31, 2024	4,193,535,263	3,716,497,967	3,095,687,471
3	April 30, 2024	4,666,857,120	4,181,811,869	3,501,517,373
4	March 31, 2024	4,671,223,468	4,154,595,409	3,407,743,329
5	February 29, 2024	4,809,111,956	4,316,797,633	3,602,259,536
6	January 31, 2024	4,944,535,916	4,455,174,421	3,876,226,050
7	December 31, 2023	5,083,127,897	4,626,767,163	4,071,114,770 **
8	November 30, 2023	5,072,327,209	4,600,587,474	3,913,212,326
9	October 31, 2023	4,805,800,105	4,406,555,605	3,739,737,911
10	September 30, 2023	4,707,152,179	4,324,518,932	3,444,770,481
11	August 31, 2023	5,325,203,024	4,904,446,994	4,003,463,355
12	July 31, 2023	5,552,827,700	5,112,817,898	4,187,683,415

** [Amended Refile](#)