

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

UBS SECURITIES LLC

as of: 06/30/2024

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance				
A. Cash		\$ 3,920,691,453		7010
B. Securities (at market)		2,374,065,084		7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		195,593,210		7030
3. Exchange traded options				
A. Add: Market value of open option contracts purchased on a contract market		380,801,875		7032
B. Deduct Market value of open option contracts granted (sold) on a contract market		(283,253,968)		7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)		6,587,897,653		7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	41,715,008		7045	
Less: amount offset by customer owned securities	(41,680,875)		7047	
		34,133		7050
6. Amount required to be segregated (add Lines 4 and 5)		\$ 6,587,931,786		7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash		342,175,172		7070
B. Securities representing investments of customers' funds (at market)		0		7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		759,843,994		7090
8. Margin on deposit with derivatives clearing organizations of contract markets				
A. Cash		4,104,919,131		7100
B. Securities representing investments of customers' funds (at market)		0		7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,614,221,090		7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(26,704,224)		7130
10. Exchange traded options				
A. Value of open long option contracts		380,801,875		7132
B. Value of open short option contracts		(283,253,968)		7133
11. Net equities with other FCMs				
A. Net liquidating equity		0		7140
B. Securities representing investments of customers' funds (at market)		0		7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0		7170
12. Segregated funds on hand (describe:)		0		7150
13. Total amount in segregation (add Lines 7 through 12)		6,892,003,070		7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)		\$ 304,071,284		7190
15. Management target amount for excess funds in segregation		150,000,000		7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess		154,071,284		7198

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 824,090,744	8500
B. Securities (at market)		369,090,809	8510
2. Net unrealized profit (loss) in open cleared swaps		(73,183,860)	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)		1,119,997,694	8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$ 23,291,871	8560	
Less: amount offset by customer owned securities	(23,242,383)	8570	
		49,488	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		1,120,047,182	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 299,856,926	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		91,980,648	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		700,523,924	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		277,110,161	8650
9. Net settlement from (to) derivatives clearing organizations		(18,530,225)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		0	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe:)		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		1,350,941,434	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 230,894,252	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 125,000,000	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess		\$ 105,894,252	8770

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1. Amount required to be segregated in accordance with 17 CFR 32.6		\$ 0	7200
2. Funds/property in segregated accounts			
A. Cash	\$ 0		7210
B. Securities (at market value)	0		7220
C. Total funds/property in segregated accounts		0	7230
3. Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)		\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	7305
1. Net ledger balance - Foreign futures and foreign options trading - All customers			
A. Cash		\$ 1,172,550,465	7315
B. Securities (at market)		\$ 505,188,270	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 2,159,242	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 0	7335
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$ 0	7337
4. Net equity (deficit) (add Lines 1, 2, and 3)		\$ 1,679,897,977	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 7,233,013		7351
Less: Amount offset by customer owned securities	\$(7,185,462)		7352
6. Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)		\$ 1,679,945,528	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.		\$ 1,679,945,528	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks					
A. Banks located in the United States		\$ 380,224,914	7500		
B. Other banks qualified under 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7510	0	7520	\$ 380,224,914	7530
2. Securities					
A. In safekeeping with banks located in the United States		\$ 215,588,560	7540		
B. In safekeeping with other banks designated by 17 CFR. 30.7					
Name(s): <u>See Attached</u>	7550	0	7560	215,588,560	7570
3. Equities with registered futures commission merchants					
A. Cash		\$ 0	7580		
B. Securities		0	7590		
C. Unrealized gain (loss) on open futures contracts		0	7600		
D. Value of long option contracts		0	7610		
E. Value of short option contracts		0	7615	0	7620
4. Amounts held by clearing organizations of foreign boards of trade					
Name(s): _	7630				
A. Cash		\$ 0	7640		
B. Securities		0	7650		
C. Amount due to (from) clearing organization - daily variation		0	7660		
D. Value of long option contracts		0	7670		
E. Value of short option contracts		0	7675	0	7680
5. Amounts held by members of foreign boards of trade					
Name(s): <u>See Attached</u>	7690				
A. Cash		\$ 919,565,691	7700		
B. Securities		289,599,710	7710		
C. Unrealized gain (loss) on open futures contracts		2,159,242	7720		
D. Value of long option contracts		0	7730		
E. Value of short option contracts		0	7735	1,211,324,643	7740
6. Amounts with other depositories designated by a foreign board of trade					
Name(s): _	7750			0	7760
7. Segregated funds on hand (describe): _				0	7765
8. Total funds in separate 17 CFR 30.7 accounts				\$ 1,807,138,117	7770
9. Excess (deficiency) set aside funds for secured amount (Line Item 7770 minus Line Item 7360)				127,192,589	7380
10. Management target amount for excess funds in separate 17 CFR 30.7 accounts				75,000,000	7780
11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts over (under) management target excess				52,192,589	7785