

 **UBS FINANCIAL SERVICES INC.**

<b>As of Date</b>	<b>Tentative Net Capital</b>	<b>Adjusted Net Capital</b>	<b>Net Capital Requirement</b>	<b>Excess Net Capital</b>
Jun 30, 2024	\$1,523,488,543	\$1,444,261,880	\$138,156,322	\$1,306,105,558
May 31, 2024	\$961,667,626	\$885,968,377	\$136,420,122	\$749,548,255
April 30, 2024	\$949,177,382	\$876,813,705	\$133,728,509	\$743,085,196
March 31, 2024	\$939,989,772	\$864,165,619	\$140,330,480	\$723,835,139
February 29, 2024	\$939,709,342	\$869,498,118	\$141,344,577	\$728,153,541
*January 31, 2024	\$1,212,823,572	\$1,147,481,603	\$133,872,443	\$1,013,609,160
*December 31, 2023	\$1,287,010,017	\$1,214,742,157	\$138,404,286	\$1,076,337,871
November 30, 2023	\$1,268,870,391	\$1,200,456,876	\$135,886,726	\$1,064,570,150
October 31, 2023	\$1,267,409,951	\$1,200,434,260	\$129,978,988	\$1,070,455,272
September 30, 2023	\$1,336,152,079	\$1,272,040,648	\$138,960,584	\$1,133,080,064
August 31, 2023	\$1,377,610,149	\$1,310,770,197	\$155,510,341	\$1,155,259,856
Jul 31, 2023	\$1,319,791,869	\$1,255,942,746	\$161,156,956	\$1,094,785,790

\*The presence of an asterisk indicates a change to that date's net capital information since initial posting.  
UBS Financial Services Inc. is a subsidiary of UBS Group AG.