

Step-by-step guide to UBS Securities Trading

Online trading at your convenience

This guide covers:

- 1. Buying securities
- 2. Selling securities
- 3. Canceling pending orders

What do you need?

- 1. Contract number
- 2. Access App or Access Card
- 3. Card reader (optional)

Buying securities

1. Log in to UBS Digital Banking.

C Danking	
E-Banking	
E-Banking login	al d
Contract number (Login 10) 888888888	
Continue	
 > Secure e-banking > Check out our e-banking demo > Our digital solutions 	

2. On the homepage, select 'Markets & Trading'. You may choose 'Quotes' or 'Buy order'.

	at UBS	
	Assets	Markets & Trading Products Mailbox
	Bank d	Securities Direct access
		🙊 Quotes 🗗 🚮 Buy order
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	\checkmark	
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4. To search for a financi	ial instrument, enter its nam	e, Subscription of the second
symbol, ISIN or RIC. T	he results will be categorize	d water
according to asset clas	SSES.	
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5. Click the 'Buy' button	next to the instrument you	BUBS E-Banking Enter boy order Australiant State State Delan sta
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- 6. Next, enter the required quantity.
- **7.** If the instrument is available on multiple exchanges, select the relevant trading place.
- **8.** Click 'Order type' to set an on-stop order or other types of orders.
- **9.** Set your desired price or trigger limit at which to execute the order.

Quantity *		Singapore Airlin Rg	>
XSES, SGD	Change -	sop 9.1	55 sat
Limit / Market Order		ISN	
SGD	Price limit	Bid pice	
SGD	Trigger limit	SGD 9.53 (4000) Autoprice	
Valid from D3	Valid settl 31.10.2018	SGD 9.55 (7200)	
Investment portfolio		100 / 100	
Please select a cash account			
Next Previous			
Next Previous	Duestify * 100 Trading plan XSES, SGD	8 Change	

10. Select the validity period of the trade.

Quantity *					Sin			Intin	Pa >
Trading slace XSES, SGD	Change	•			2018				
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11. Click the dropdown menu and select a cash account.



12. Click 'Next' to continue.

Open 11112222 0001 Experty Open 11112222 NO URS Controly Account 1234054625 Please select a cash account	
0546 11112222 03L UBS Current A HKD 99/999/999.00	
Next Previous	

13. Before you can proceed, automatic pre-trade checks will be performed.

Scenario 1: 'Hard block'

If there's a hard block, your order cannot be processed because of an issue. Please contact your client advisor if you are unable to resolve the issue online.

Scenario 2: 'Soft block'

If there's a soft block, a notification message will highlight the issues that require your attention. You may choose to proceed with the order (go to point 13) or go back to the previous screen (go to point 5).

Scenario 3: 'No block'

If there are no issues that require your attention, you may continue with the order.

14. After your order has been successfully validated, you can proceed to review and submit your order.

Constrained inducement
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* Financial instrument	Order data	Order inview	Order confirmation
Order validation • The account currency does By choosing "Nest", you acknow transaction.	not match the trading currency, which will result edge the warnings and risks highlighted above ar	in exchange fees. In d confirm that you want to proceed with the	
Guardity * 100		⊰ Singapore Airlin Rg >	
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Onler type Limit / Markat Ordar		09.15.2016 17.04 04 90T	
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SGD	Price limit		



Financial instrument	✓ Order data	Order review	Order confermation
inancial instrument			
laine ;	Singapore Airlin Rg		
an	SG1V61937297		
	SGD 9.55 as of 09.10.2018 17:04:04 SGT		
id price (Did stati	9.53 (4000)		
ok price (Ask size)	9.55 (7200)		
Order data		Cost estimation (2)	
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Duartity	100	External fees	5GD 0.
rading place	XSES. SGD		SGD 955.
Dellar type	At market	5GDHKD at 5.734138000	
tion liverit			HKD 5478.
rigger Desit.	9	Commission	HKD 1146.
rahdep	31.10.2018	Transaction fee	HKD 143.
		2% GST	HKD 90-
		Amount to be debried	HKD 6858.1
lient data			
overtment portfolio	0546 11112222.0001 Equity 0546 11112222.0001 00812837.N1 12345654321		
fease select a cash account.	0546 11112222.03L UBS Current Account for Pri 0546 11112222 Equity 12345654321	rate Clients	HKD 99 999 999.

15. A unique order number will be generated once the submission is complete.



1. Log in to UBS Digital Banking.

2. On the homepage, select 'Markets & Trading'. Choo 'Quotes' or a'Sell order'.	DSE	ets & Trading Products Mailbox ecurities Direct access uotes C Westment views C House View Equities & indices House View Set up notifications 09.10.2018	
To search for a financial instrument on Quotes, click the search bar and enter its ISIN or RIC. The results will be categorized according to asset classes. On the selected instrument page, click the sell icon.		USD/JPY +0.11% 112.36 USD/JPY +0.11% 112.36 USD/JPY +0.11% 112.36 USD/JPY -0.11% 112.36 USD/JPY -0.11	
Clic 3. Choose the required custo	k the dropdown menu. ody account.	Beaking [Enter buy order Meretain information Meretain information Meretain information Meretain information Meretain information Meretain information Meretain Meretai	Order revees Order confination Image: Select an Investment portfolio Image: Select an Investment portfolio

4. Click the 'Sell' button next to the instrument you wish to sell.

Financial instrument	Order data	Order review		Order confirmation	
nvestment portfolio					
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Search positions in this portfolio	a by instrument, ISIN, symbol or I	Search Search			
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Barclays Rg	G80031348658	15	GBP	сн	Sel
BMW Br	DE0005190005	1	EUR	СН	Set

- **5.** Next, enter the required quantity or select 'Sell all'.
- **6.** If the instrument is available on multiple exchanges, select the relevant trading place.
- **7.** Click 'Order type' to set a stop-loss order or other types of orders.
- **8.** Set your desired price or trigger limit at which to execute the order.
- **9.** Select the validity period of the trade.



- **10.** Click the dropdown menu and select a cash account.
- **11.** Click 'Next' to continue.



12. To confirm your order, click 'Submit sell order'.

sancial instrument	J Order data	Order review	Order confermation	
cial instrument				
	AirAsia Grp Rg			
	MYL5099C0006			
	MYR 2.88 as of 10.10.2018 12:29:45 SGT			
ne (Bid-star)	2.57 (600)			
ree (Ank start)	2.68 (2200)			
r data		Cost estimation ()		
t value of order	299	Marinet value of urder		MYR 25
9	100	External liters		MYR -0.1
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type	At market	Transaction fee		MYR -74.5
erit.	10000000000000000000000000000000000000	176 GST		MYR -47.1
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t data				
nert portfolia	0546 11112222.0001 Equity 0546 11112222 0546 11112222.N1 12345654321			
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select a cash account	0546 11112222 0BK UBS Current Account for Private 0546 11112222 Equity 12345654321	Clients		MYR 999'9

13. A unique order number will be generated once the submission is complete.

Financial instrument	✓ Order data	Cinder review	Order confirmation	_
		Your sell order no. 123456543 h	las	
	\bigcirc	been transmitted 10.10.2018 14:30:58 5GT New order Go to order overview		

Canceling pending orders

1. Log in to UBS Digital Banking.

2. On the homepage, select 'Markets & Trading', followed by 'Securities'.

Assets	Markets & Trading	Prod	ucts Mailbox		
Bank c	💰 Securities		Direct access		
	🙊 Quotes	ß	Buy order		
	# Investment views	Ľ	Sell order		
			Equities & indices	C.	
Portfoli			Currencies	C.	
1 OI HOII			House View	C.	
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3. On the order overview page, select a pending order you wish to cancel.

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Q. Financial instrument, ISIN, symbol, order type							earch	Place new order
Order status	Order type	Name	Quantity	Price	Portfolio Client Name	Velid until Entered on		Buy order
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Settled	Buy	Sulzer N CH0038388911	99.00 (50.00)	CHF 10.00	0546 11112222.0001 12345654321	09.10.2018 09.10.2018	80	-
Settled	Buy	Rheinmetall I DE0007030009	2.200.00	EUR 9.428571	0545 11112222.0001 12345654321	31 10 2018 09 10 2018	000	

4. Click the end of the row to expand the menu and select 'Cancel order'.

Assets	Markets & Tr	ading Products Mailbox						
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Q Financial instrument, ISIN, symbol, order type Search							Search	Place new orde
Order status	Order type	Name ISIN	Quantity	Price Limit	Portfolio Client Name	Valid until Entered on		Buy order
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Settled	Buy	Sulzer N CH0036386911	99.00 (50.00)	CHF 10.00	0546 11112222.0001 12345654321	Cancel or	der 2	
Settled	Buy	Rheinmetall I DE0007030009	2,200,00	EUR 9.428571	0546 11112222.0001	31.10.2018 09.10.2018	000	

5. A pop-up box will appear. Click 'Yes' to confirm your order cancellation request.

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Retted	Buy	Rheiometal I	2 200 00	EUR 9.428571 0546 11112222.0001	31.10.2018	0	

6. A message will be displayed to acknowledge your order cancellation request.



Securities Trading Terminology

Market order

A market order is a request to buy or sell a security at the best-available price in the current market. It guarantees an execution but not the exact price.

Limit order

A limit order allows an order to be matched at a specified price. A buy limit order cannot be higher than the current price, whereas a sell limit order cannot be lower than the current price.

On-stop order

An on-stop order is an order to buy a security when it reaches a certain price. This order guarantees a predetermined price but not an execution.

Stop-loss order

A stop-loss order is an order to sell a security when it reaches a certain price. This order guarantees a predetermined price but not an execution.

Price information

The price information displayed is the last-available stock exchange price. (For investment funds, it is the valuation price divided by the net asset value.) This data may be real-time, delayed, from the previous day, or even older in the case of rarely traded securities.

The time stamp next to the stock exchange price is the local time of the relevant trading place. Please note that the displayed currency may differ from the trading currency of the security.

Trading currency

The trading currency for equities is usually determined by the relevant stock exchange. For bonds, it generally corresponds to the security's nominal currency. The trading currency on Securities Trading cannot be changed.

Trading place

The trading country and trading place are displayed in this field. The suggested trading place is either determined by the system, or based on your selection from search results.

For buy orders, you can replace the suggested trading place with another one from the list. For sell orders, the trading place is determined by the position in your custody account and cannot be changed.

Trigger limit

A trigger limit or stop limit is required for stop-limit orders, stop-loss orders, and on-stop orders. Trigger limits are always shown in the trading currency for equities, and as a percentage of the face value for bonds.

For enquiries

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